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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/701,993

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Charles R. Saikley

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04/08/2008

SF Bay Area Patents, LLC
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EXAMINER

HOEKSTRA, JEFFREY GERBEN

ART UNIT

PAPER NUMBER

3736

MAIL DATE

DELIVERY MODE

04/08/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/701,993	Applicant(s) SAIKLEY ET AL.	
	Examiner JEFFREY G. HOEKSTRA	Art Unit 3736	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 01/16/2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 21-56 is/are pending in the application.
- 4a) Of the above claim(s) 36-51 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 21-35 and 52-56 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 25 March 2004 and 10 September 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Notice of Amendment

1. In response to the amendments filed on 01/16/2008, amended claim(s) 21, 23, 27-29 and 52-56 is/are acknowledged. The current rejections of the claim(s) 21-35 and 52-56 is/are *withdrawn*. The following new and reiterated grounds of rejection are set forth:

Claim Rejections - 35 USC § 102

2. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

3. Claims 21-35 and 52-56 are rejected under 35 U.S.C. 102(b) as being anticipated by Cunningham et al. (US 6,306,104 B1, hereinafter Cunningham) as broadly as structurally claimed.

4. For claims 21 and 23, Cunningham discloses a device (10, 900, 1000) for obtaining and testing a bodily fluid sample, the bodily fluid sample capable of being a submicroliter bodily fluid sample, comprising:

- a housing (12) defining a first aperture (33);
- a lancing device (16, 67, 908, 1016) including a lancet drive (60) including a spring (68), the lancing device:
 - operatively coupled to said housing by said spring (column 10 lines 1-31) (as best seen in Figures 21-22),
 - capable of obtaining a submicroliter bodily fluid sample by advancing through said first aperture,

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- piercing a bodily fluid sample location (column 6 lines 17-30 and column 9 lines 29-57), and
 - withdrawing to provide access to the submicroliter bodily fluid sample by a test strip (914, 1014); and
 - a mount block (903, 1003) coupled with a connector that is coupled with a motor (column 32 line 55 – column 33 line 39) within the housing,
 - the mount block configured for coupling the test strip thereto (as best seen in Figures 13-14),
 - wherein the motor is capable of moving the mount block and an edge of the test strip along a trajectory such that a bodily fluid receiving portion (column 7 line 65 – column 8 line 36 and column 16 lines 40-56) of the edge of the test strip comes to rest at a center of the submicroliter bodily fluid sample without moving the housing relative to the bodily fluid sample location (column 32 line 55 – column 33 line 39) (as best seen in Figure 13E), and
 - wherein, after said lancing and withdrawing of the lancing device, the lancing device is capable of moving the test strip to a bodily fluid sample contacting position (column 32 line 55 – column 33 line 39), said bodily fluid sample contacting position capable of being within a mechanical tolerance of 0.010 inches of said center of said submicroliter bodily fluid sample.
5. For claim 22, Cunningham discloses the device of claim 21, wherein the lancing device comprises a cutting edge (the at least one lancet in column 6 lines 17-30 and column 9 lines 29-57) that is aligned with the test strip, although withdrawn following

lancing to provide said bodily fluid sample, when the test strip is received in the housing and moved to said center of the bodily fluid sample (as best seen in Figure 13E).

6. For claim 24, Cunningham discloses the device of claim 21, wherein the lancing device comprises a body having a first axis, and a sharp operatively connected to the body, wherein the sharp has a second axis that is substantially perpendicular to the first axis (column 6 lines 17-30 and column 9 lines 29-57).

7. For claim 25, Cunningham discloses the device, wherein the lancing device comprising a sharp with at least two points (column 6 lines 17-30 and column 9 lines 29-57).

8. For claim 26, Cunningham discloses the device, wherein the lancing device is of a construction sufficient to pierce tissue of a patient (column 6 lines 17-30 and column 9 lines 29-57).

9. For claims 27 and 52-56, Cunningham discloses the device, wherein the test strip comprises a side-filled test strip (as best seen in Figures 13B-13E) capable of sampling the submicroliter bodily fluid sample, wherein the submicroliter bodily fluid sample is capable of comprising a submicroliter volume, a volume of less than $\frac{1}{3}$ of a microliter, and a diameter of not more than approximately 0.005 inches.

10. For claim 28, Cunningham discloses the device, wherein when the test strip is in the bodily fluid sample-contacting position, a fill channel (column 7 line 65 – column 8 line 36 and column 16 lines 40-56) of the test strip is capable of being aligned with the submicroliter bodily fluid sample within 0.005 inches of said center of said sample (as best seen in Figure 13E).

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11. For claims 29, 34, and 35, Cunningham discloses the device, wherein the edge of the test strip is capable of traveling along said trajectory including 0.03 inches along the bodily fluid sample location at an approach angle between 35 – 65 degrees.

12. For claim 30, Cunningham discloses the device, wherein the physiological property that is determined from the sample comprises a glucose level (Abstract).

13. For claim 31, Cunningham discloses the device, further comprising a controller (20) operatively coupled to the housing for controlling operation of the lancing device (column 13 lines 33-51).

14. For claim 32, Cunningham discloses the device, further comprising an input unit (1009) operatively coupled to the housing for operating the lancing device.

15. For claim 33, Cunningham discloses the device, further comprising a controller (20) operatively coupled to the housing for controlling movement of the test strip when the test strip is received in the housing (column 32 line 55 – column 33 line 39).

Response to Arguments

16. Applicant's arguments filed 01/16/2008 have been fully considered but they are not persuasive. Applicant argues the anticipatory rejection of claims 21-35 and 52-56 under Cunningham, specifically arguing Cunningham does not disclose, teach, and/or fairly suggest a lancing device that is “configured such that after lancing and withdrawing of the lancing device, the test strip is movable to a bodily fluid sample contacting position within a mechanical tolerance of 0.010 inch of said center of said submicroliter bodily fluid sample”. The Examiner disagrees, maintains the rejection as set forth and reiterated above, and notes in response the following:

17. In response to applicant's argument that Cunningham does not disclose, teach, and/or fairly suggest a lancing device that is “configured such that after lancing and withdrawing of the lancing device, the test strip is movable to a bodily fluid sample contacting position within a mechanical tolerance of 0.010 inch of said center of said submicroliter bodily fluid sample”, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim.

18. In this case Cunningham discloses a lancing device wherein, after said lancing and withdrawing of the lancing device, the lancing device is capable of moving the test strip to a bodily fluid sample contacting position (column 32 line 55 – column 33 line 39), said bodily fluid sample contacting position capable of being within a mechanical tolerance of 0.010 inches of said center of said submicroliter bodily fluid sample.

19. For example, as broadly as claimed, the entire device of Cunningham may be moved into a bodily fluid contacting position within a mechanical tolerance of 0.010 inches of said center of said submicroliter bodily fluid sample. The claim does not require that the test strip, or even the lancing device alone, is configured for or independently moveable into the precise sample contacting position.

20. Applicant's arguments are directed towards the functional definitions of the device, which Cunningham is capable of, and are not directed towards structural limitations further defining the device, the housing, the lancing device, the test strip, or the relative movements of the structures within the entire device.

Conclusion

21. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JEFFREY G. HOEKSTRA whose telephone number is (571)272-7232. The examiner can normally be reached on Monday through Friday 8am to 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Max Hindenburg can be reached on (571)272-4726. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/J.H./

Jeff Hoekstra
Examiner, Art Unit 3736

/Max Hindenburg/

Supervisory Patent Examiner, Art Unit 3736